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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER -401424

09/982,993

10/22/2001

Alfred Wing Kin Chan

CONFIRMATION NO. 8236

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Date Mailed: 11/26/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

The specification is missing. A complete specification as prescribed by 35 U.S.C. 112 is required.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- The balance due by applicant is \$ 740.

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| | The Patent Office acknowledges the items checked below: | Patent Office acknowledges, and has stamped hereon, the date of the receipt of items checked below: | | |
| | Docket No.: 401432/M&C Inventor(s): ALFRED WING KIN Title: Pipe Fittings | RED WING KIN CHAN | | |
| | ☐ Transmittal Letter for a (1 pages; w/duplicate copy) | Rule 53(b) appln Rule 53(c) profit applin \$371 national phase appln of PCT/ | | |
| | No. Pages of Spec.: <u>6 + Abstract</u> ✓ Application Data Sheet | No. Pages of Claims: 1 No. Sheets of Drawings: 2 ☐ Return Receipt Postcard | | |
| Declaration | | ☐ Check ☐ Charged to Deposit Account ☐ Associate Power of Attorney ☐ Preliminary Amendment ☐ Information Disclosure Statement ☐ PTO-1449 (pages) ☐ & documents ☐ | | |
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